







Red	1, 2, 3, 4, 7, 8, 10, 11, 12, 14
Amber	5, 6, 9, 15, 16





Summary Corporate Risk Register @ August 2014

Corporate priorities	<ul style="list-style-type: none"> Encouraging new business 	<ul style="list-style-type: none"> Empowering People and Communities 	<ul style="list-style-type: none"> Re-Invigorating the City 	<ul style="list-style-type: none"> Confident, Capable Council
-----------------------------	--	---	--	--




The following are the current **red** corporate risks the Council faces in delivering its corporate priorities



Risk ref	Risk title and description	Previous score (June 2014)	Current score (August 2014)	Movement	Target score and date
1 01/14	<p>Looked After Children (LAC) If the number of LAC is not reduced this may result in an increase in costs, budget overspends and an increased demand on children's services.</p> <p>Risk owner: Sarah Norman Cabinet Member: Cllr Val Gibson</p>	20 Red	20 Red		15 Red April 2015
2 01/14	<p>Skills for Work If the city residents do not have the appropriate skills that employers require then they will be unable to access the jobs and opportunities available resulting in high rates of unemployment and increased demand on Council services.</p> <p>Risk owner: Tim Johnson Cabinet Member: Cllr Phil Page</p>	20 Red	20 Red		15 Red March 2015

Risk ref	Risk title and description	Previous score (June 2014)	Current score (August 2014)	Movement	Target score and date
3 01/14	<p>Information Governance (IG)</p> <p>If the Council does not put in place appropriate policies, procedures and technologies to ensure:</p> <ul style="list-style-type: none"> that the handling and protection of its data is undertaken in a secure manner and consistent with the provision of the Data Protection Act 1998; compliance with the Freedom of Information Act and Environmental Information Regulations <p>then it may be subject to regulatory action, financial penalties, reputational damage and the loss of confidential information.</p> <p>Risk owner: Keith Ireland Cabinet Member: Cllr Paul Sweet</p>	16 Red	16 Red		12 Amber From August 2014 to March 2015
4 01/14	<p>Medium Term Financial Strategy</p> <p>If the Council is unable to agree and operate within its medium term financial strategy (MTFS) this may exhaust reserves, result in the potential loss of democratic control and the inability of the Council to deliver essential services and discharge its statutory duties.</p> <p>Risk owner: Simon Warren Cabinet Member: Cllr Andrew Johnson</p>	15 Red	15 Red		10 Amber March 2015
7 01/14	<p>Safeguarding</p> <p>If the Council's safeguarding procedures and quality assurance processes are not consistently and effectively implemented then it will fail to safeguard children and vulnerable adults and lead to reputational damage.</p> <p>Risk owner: Sarah Norman Cabinet Member: Cllr Val Gibson</p>	15 Red	15 Red		10 Amber Next Ofsted inspection
8 01/14	<p>Business Continuity Management</p> <p>Failure to develop, exercise and review plans and capabilities that seek to maintain the continuity of critical functions in the event of an emergency that disrupts the delivery of Council services.</p> <p>Risk owner: Ros Jervis Cabinet Member: Cllr Andrew Johnson</p>	15 Red	15 Red		10 Amber March 2015

Risk ref	Risk title and description	Previous score (June 2014)	Current score (August 2014)	Movement	Target score and date
10 01/14	<p>Economic Inclusion</p> <p>If the Council and its partners do not work effectively together to tackle the root causes of poverty in the City then demand for Council services will continue to increase.</p> <p>Risk owner: Keren Jones Cabinet Member: Cllr Peter Bilson, Cllr Phil Page and Cll Elias Mattu</p>	16 Red	16 Red		12 Amber June 2015
11 01/14	<p>The Care Act</p> <p>If the Council does not have robust plans in place to implement the Care Act including:</p> <ul style="list-style-type: none"> • appropriate governance arrangements, • appropriate project management arrangements • sufficient financial resources • sufficient workforce capability and capacity • effective information systems <p>then it will fail to meet its new responsibilities and discharge its statutory obligations.</p> <p>Risk owner: Tony Ivko Cabinet Member: Cllr Steve Evans</p>	12 Amber tbc	15 Red		10 Amber April 2015
12 01/14	<p>Better Care Fund (BCF)</p> <p>If the Council and its partners fail to deliver the improved outcomes required by the Better Care Fund, demand on acute services will not be reduced, the reward money will not be received and the Council will not receive the additional resources promised by the Better Care Fund.</p> <p>Risk owner: Sarah Norman Cabinet Member: Cllr Sandra Samuels</p>	15 Red	15 Red		10 Amber October 2015
14 01/14	<p>School Improvement</p> <p>If the Council does not provide effective support, challenge and appropriate intervention to raise standards in schools, then the Council and these schools are at risk of underperforming, receiving inadequate Ofsted judgements and a potential loss of control and influence.</p> <p>Risk owner: Tim Johnson Cabinet Member: Cllr Phil Page</p>	15 Red	15 Red		10 Amber September 2014

The following are the **amber** corporate risks the Council faces in delivering its corporate priorities.

Risk ref	Risk title and description	Previous score (June 2014)	Current score (August 2014)	Movement	Target score and date
5 01/14	<p>FutureWorks</p> <p>If the Agresso system does not perform as expected then there will be a prolonged need to maintain the old system resulting in inefficiencies in service delivery; unplanned maintenance costs and delays in planned savings materialising.</p> <p>Risk owner: Keith Ireland Cabinet Member: Cllr Paul Sweet</p>	10 Amber	10 Amber		5 Amber December 2014
6 01/14	<p>Compliance with Public Services Network (PSN)</p> <p>If the Council fails to achieve the required technology controls required for connection to PSN, and other similar information security regimes, then it will experience significant interruption to the delivery of its services.</p> <p>Risk owner: Keith Ireland Cabinet Member: Cllr Andrew Johnson</p>	5 Amber	5 Amber		5 Amber Achieved
9 01/14	<p>City Centre Regeneration</p> <p>If the city centre regeneration programme is not effectively managed in terms of project timings, costs and scope, then it will be unable to maximise opportunities including:</p> <ul style="list-style-type: none"> • the attraction of private sector investment • the creation of space to accommodate new businesses and economic growth • the enhancement and creation of visitor attractions • the creation of well paid employment • retention of skilled workers • the creation of residential opportunities • a functioning city centre offer that serves the residents of the City • increased prosperity and • a reduced demand on Council services <p>Risk owner: Tim Johnson Cabinet Member: Cllr Peter Bilson</p>	12 Amber	8 Amber		8 Amber Achieved 2014

Risk ref	Risk title and description	Previous score (June 2014)	Current score (August 2014)	Movement	Target score and date
15 01/14	<p>Emergency Planning</p> <p>Failure to develop, exercise and review plans and capabilities for preventing, reducing, controlling or mitigating the effects of emergencies in both the response and recovery phases of major a incident.</p> <p>Risk owner: Ros Jervis Cabinet Member: Cllr Andrew Johnson</p>	9 Amber	9 Amber		6 Amber April 2015
16 01/14	<p>Equal Pay</p> <p>Significant equal pay liabilities have been dealt with over recent years. However, equal pay will remain a potentially significant risk until:</p> <ul style="list-style-type: none"> the second generation claims, from trade union members, have been dealt with. six years after the implementation of single status, until that time "Abdulla" type claims can still be brought. <p>Risk owner: Keith Ireland Cabinet Member: Cllr Paul Sweet</p>	12 Amber	12 Amber		8 Amber April 2015